

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on May 24, 2002

Date of Deposit

Jonathan P. Taylor, Reg. No. 48,338

Name of applicant, assignee or  
Registered Representative

*Jonathan P. Taylor*  
Signature

5/24/02

Date of Signature

**COPY OF PAPERS  
ORIGINALLY FILED**

Case No. 10322/33

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Hentges et al.

Serial No.: 10/044,831

Filed: January 9, 2002

For: Ultrathin Dielectric Oxide  
Films

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated March 27, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

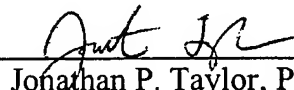
☒ Applicant is:

☐ a small entity, verified statement is:

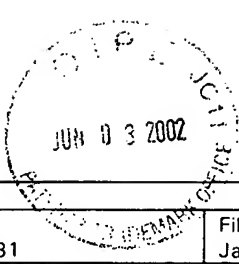
- ☐ attached
- ☐ already filed
- ☐ other than small entity
- ☒ Checks totaling \$999 for:
- ☒ Filing Fee of \$370.
- ☒ Surcharge of \$65.
- ☒ Additional Claim Fees of \$564.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment, Assignment Recordal sheet, \$40 check.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: 5/24/02

  
Jonathan P. Taylor, Ph.D.  
Registration No. 48,338  
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200



HH

AMP #4

TRANSMITTAL LETTER			Case No. 10322/33
Serial No. 10/044,831	Filing Date January 9, 2002	Examiner NA	Group Art Unit NA
Inventor(s) Hentges et al.			
Title of Invention Ultrathin Dielectric Oxide Films			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts, Response to Notice to File Missing Parts, Declaration, Power of Attorney, Recordation of Assignment Sheet, Assignment, \$40, and return postcard.

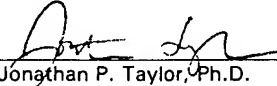
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Indep.		Minus			x 42 =			x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =			+ \$280 =	
					Total add'l fee	\$		Total add'l fee	\$

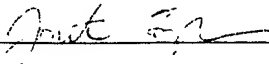
- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$999 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Jonathan P. Taylor, Ph.D.  
Registration No. 48,338  
Agent for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 24, 2002.

Date: 5/24/02 Signature: 



00757

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/044,831	01/09/2002	Patrick J. Hentges	10322/33

00757  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 8058

FORMALITIES LETTER



\*OC00000007725835\*

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS  
ORIGINALLY FILED

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$564.
  - \$396 for 22 total claims over 20.
  - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1434.

Repln. Ref: 06/24/2002 SSALEEXU 0009354600  
DAW:132490 Name/Numbers:10044831  
FC: 704 \$262.00 CR

A copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/24/2002 SSALEEXU 00000005 10044831

01 FC:202  
02 FC:20384.00 DP  
196.00 DP

06/06/2002 WABRHHM1 00000001 10044831

01 FC:201  
02 FC:202  
03 FC:203  
04 FC:205370.00 DP  
396.00 DP  
168.00 DP  
65.00 DP

Adjustment dates: 06/24/2002 SSALEEXU

06/06/2002 WABRHHM1 00000001 10044831  
02 FC:203  
03 FC:202  
168.00 DP  
168.00 DP